

ETC evaluation and reporting state of play 2014-2020

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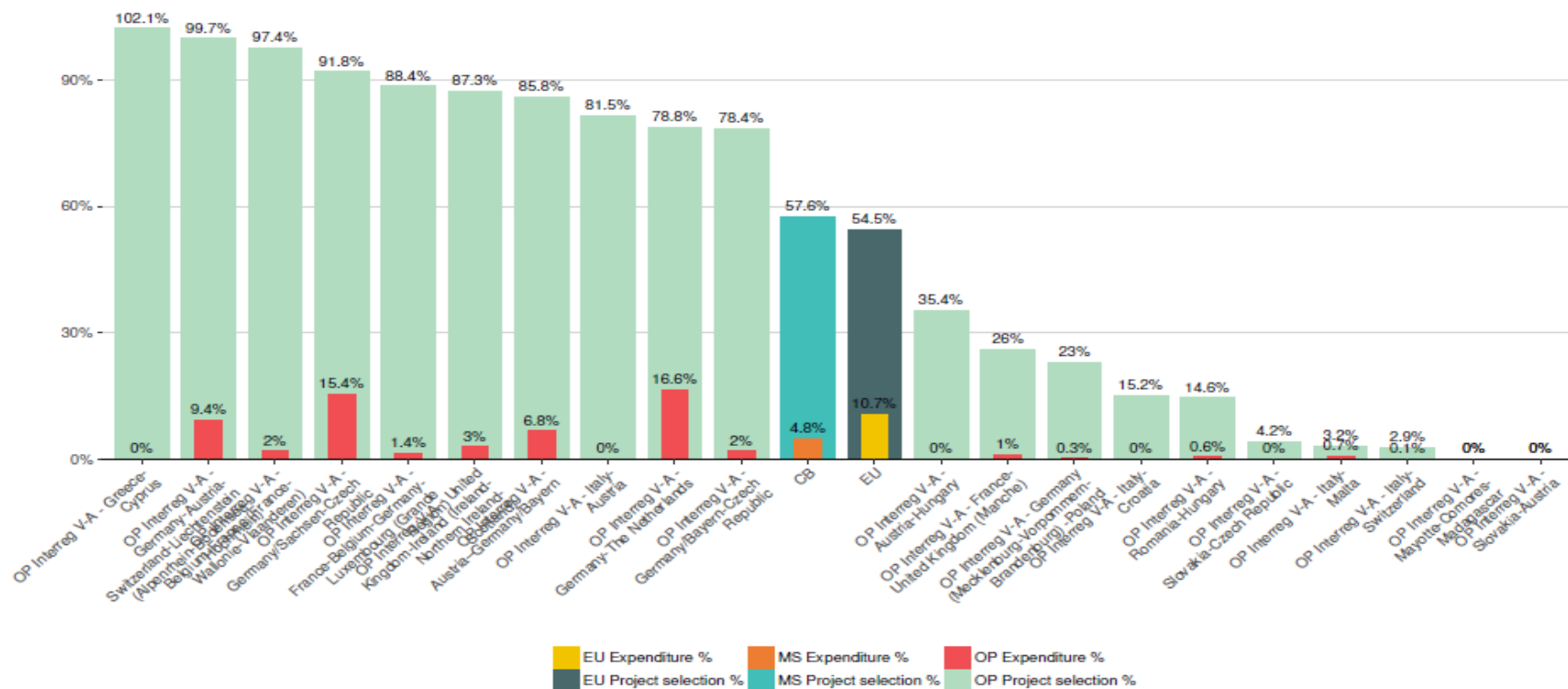
28 June 2018, UK

Agenda

1. Implementation status
2. Performance framework status
3. Evaluations stats and issues
4. AIR 2017 quality
5. Forecasting capacity

Implementation of ETC programmes CBC

1.2 Rate of project selection and expenditure declared* by OP** (%)

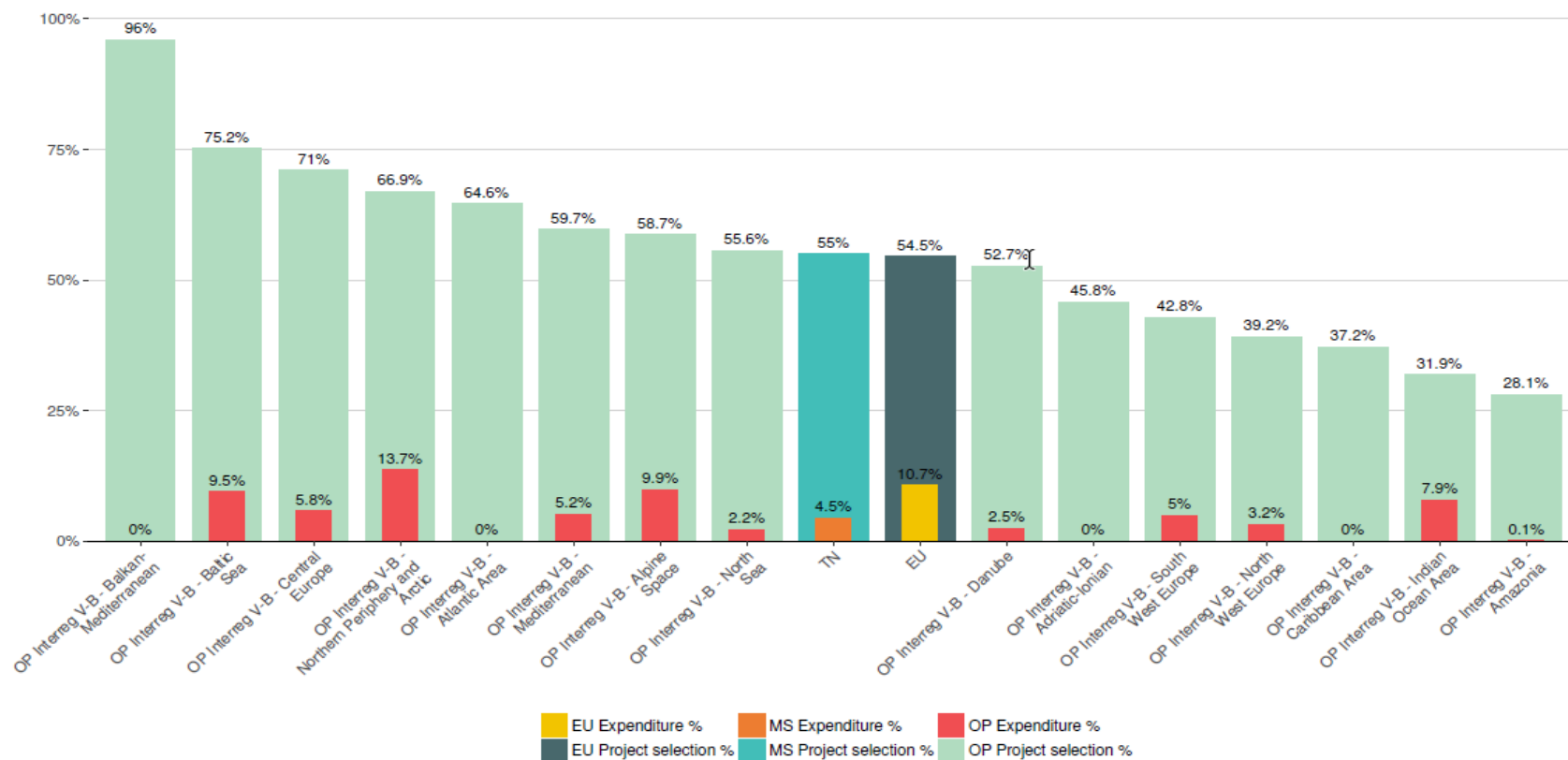


*Calculation based on total (EU plus national) eligible cost of selected projects and total expenditure declared by beneficiaries

** Only ten highest and ten lowest values are shown in case of countries with more than twenty OPs.

Implementation of ETC programmes TN

1.2 Rate of project selection and expenditure declared* by OP** (%)

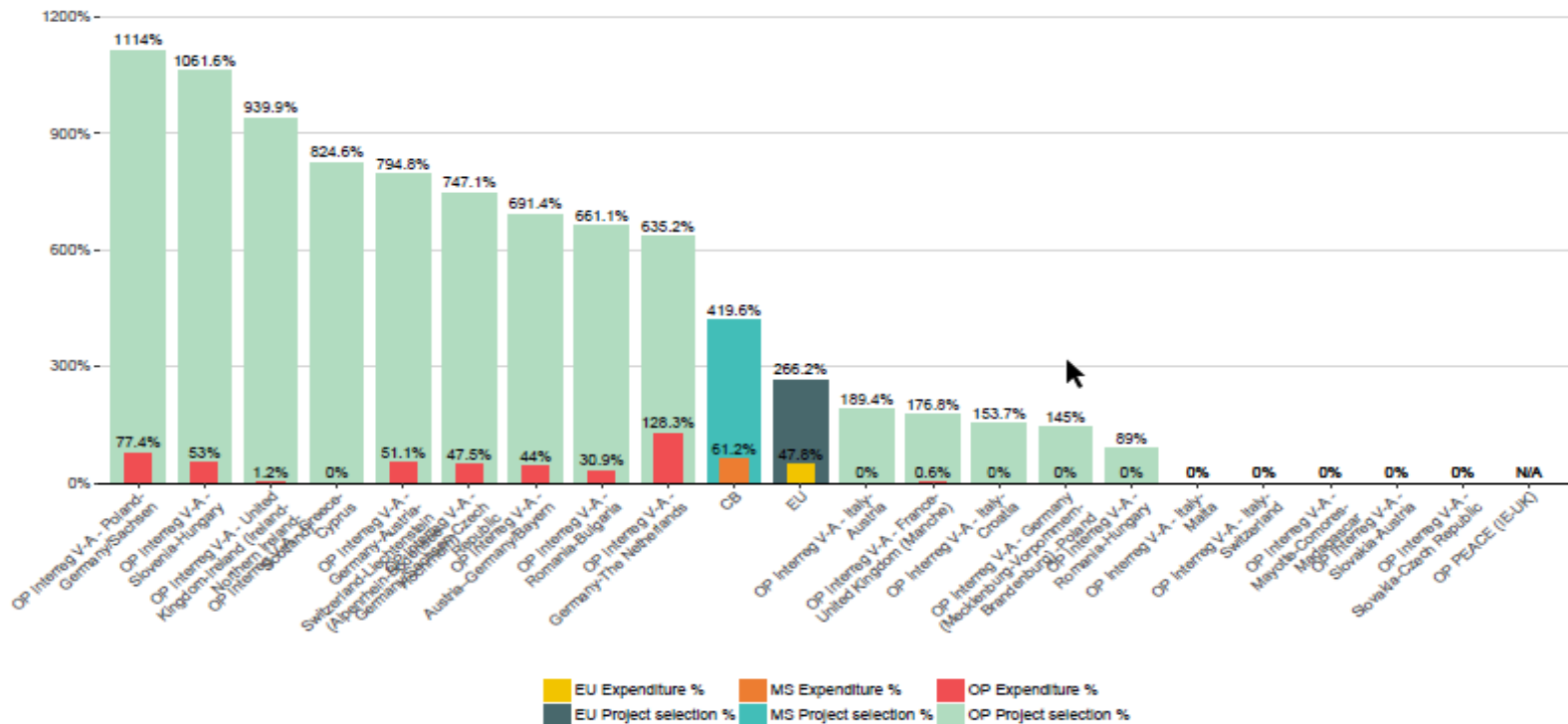


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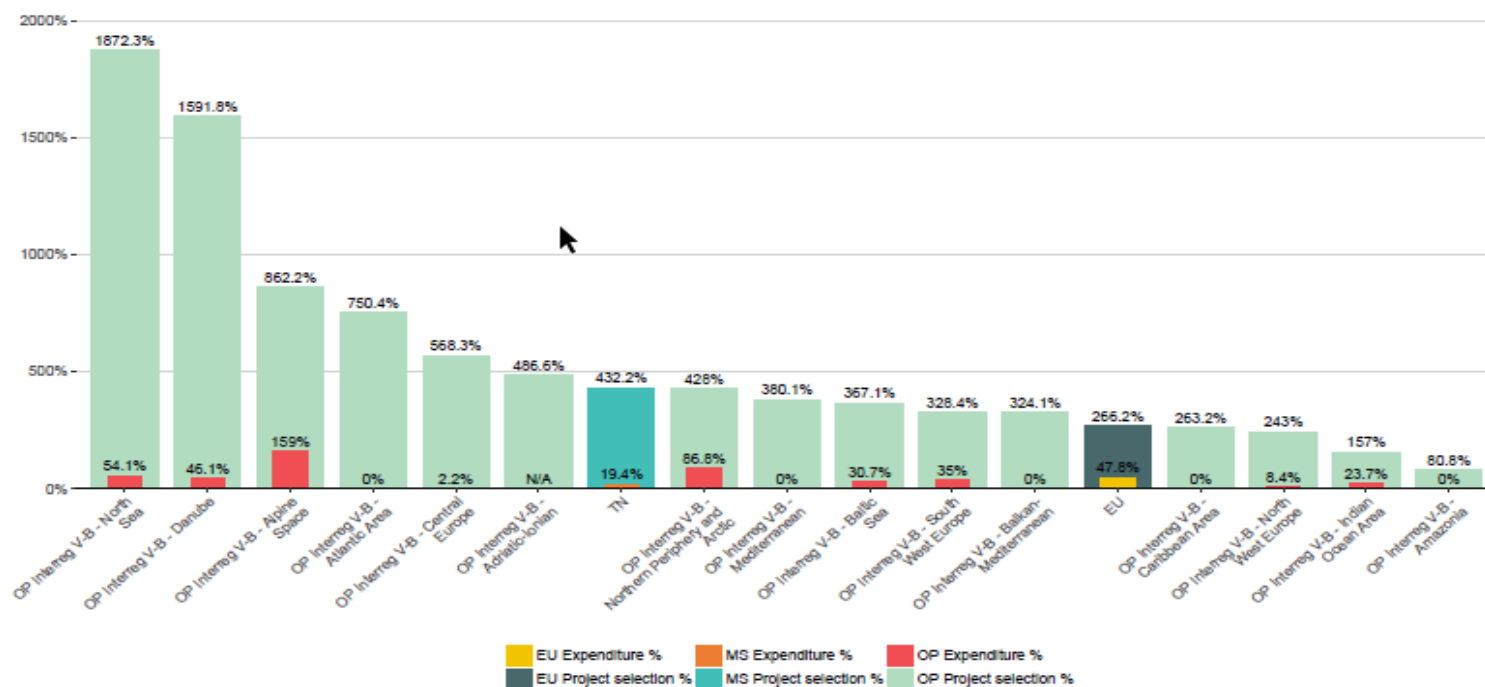
Implementation of ETC programmes CBC Performance Framework

2.1 Rate of project selection and certified expenditure as share of milestone by OP**



Implementation of ETC programmes TN Performance Framework

2.1 Rate of project selection and certified expenditure as share of milestone by OP**



Performance framework stats (CBC left TN right)

Number of Performance Frameworks

| | Higher than 60% | Between 30% and 60% | Lower than 30% |
|-----------------------------------|------------------|-----------------------|-----------------|
| CERTIFIED EXPENDITURE | 32 | 25 | 154 |
| | Higher than 140% | Between 100% and 140% | Lower than 100% |
| SELECTED PROJECTS (eligible cost) | 172 | 6 | 33 |

Number of Performance Frameworks

| | Higher than 60% | Between 30% and 60% | Lower than 30% |
|-----------------------------------|------------------|-----------------------|-----------------|
| CERTIFIED EXPENDITURE | 9 | 18 | 43 |
| | Higher than 140% | Between 100% and 140% | Lower than 100% |
| SELECTED PROJECTS (eligible cost) | 62 | 1 | 11 |

nditure.

egory of region).

Evaluations

- Almost no evaluations from this period this is logical considering the level of implementation
- 9 have been identified until the end of 2017 as being published since we began the Helpdesk
- 4 relate to the previous programming period
- Only two are impact evaluations both of them (unsurprisingly) on the previous period
- The remaining are more process oriented

General issues with evaluations

Questions and methods

- The objectives of the evaluation are clearly stated but not the evaluation questions
- Very ambitious scope but very limited methods applied
- The approach adopted seems only partly suitable for addressing all the objectives of the evaluation, e.g. a proper review of the intervention logic is not carried out.
- No proper triangulation of methods (evidence is not verified from different sources)

General issues with evaluations Data

- The evaluation adopts only a qualitative method
- No information on data collection methods
- Some data are not collected on a regular basis. Performing evaluations before the availability of the data limits its coverage.

Evaluation Library

Policy

2021-2027

Funding

What's new

In your country

Projects

Information sources

Evaluation

This library contains selected evaluations carried out by Member States in the 2014-2020 period and evaluations assessing the impact of investments from the 2007-2013 period. For other types of 2007-2013 evaluations or evaluations of interventions from the 2000-2006 period, please visit [this link](#)

EVALUATION

- [Archive of evaluations](#)

Keyword

Keyword

Country

...

Method

...

Fund

...

Type

...

Thematic Objective

...

Programming Period

...

Title

Pdf



... 2014-2020 ... 2007-2013 ...

EP

Evaluation Library

Policy

Thematic objectives: Transport

CCI: 2014UK16RFOP005

OP Name: OP ERDF West Wales and the Valleys

Evaluation type: Impact/Result orientated, Monitoring/progress oriented

Evaluation method: Theory based impact evaluation, Other qualitative, QUAN - Other quantitative

Abstract

Summary details: The evaluations assesses the Gowerton Redoubling Project, partly funded by the ERDF OP for West Wales and the Valleys in the 2007-2013 period. The project, which was finished in 2013, was to convert an 8 km stretch of single rail track between Duffryn West and Cockett West to dual-track and to make improvements to Gowerton station.

Objectives: The aim was to assess the extent to which the project objectives were achieved, i.e. to relieve a major bottleneck in the rail network in south west Wales; to enable the frequency of trains on the line to be increased from a maximum of two per hour; to improve operational performance and allow more frequent stops to be made at Gowerton station. The study also assessed the impact of the project on users of the station and on passengers on the new double track line.

Method-Data: Desk research; analysis of monitoring data; surveys of users; interviews with key project staff.

Main findings: The project was delivered at target cost, with minor additional costs because of an extension in scope (e.g. platform resurfacing); synergies with the Loughor Viaduct project were a major means of keeping costs down; robust and suitable risk management procedures were implemented; the regular meetings between the stakeholders involved ensured the project was implemented smoothly; for half of the users interviewed, the improvements to the station have increased the use of the train; the station contributed positively to a shift from road to rail use.

Limitations (as indicated in the evaluation): The use of non-standard methods to define targets did not enable accurate impact assessment and benchmarking to be made.

Policy implications (as set out in the evaluation): The recommendations identified are to use standard methods to define targets to allow benchmarking of rail projects; to investigate during the project planning stage whether shared activities could be undertaken to reduce costs for those projects implemented at the same location, same time or with the same design; to include indicators to facilitate evaluation of cross cutting themes.

Summary findings: TO 7.1 - The Gowerton Redoubling project was delivered at target cost. The risk assessment procedures were suitable and robust. The project did not encounter delays in implementation. Improvements to Gowerton station led to a shift from road to rail use.

Information sources

Quality of evaluation plans

| | Total | ERDF+CF | ESF+YEI | Multi-funding | ETC |
|--------------------------------------|-------|---------|---------|---------------|-----|
| 1 Management and planning | 2.7 | 2.7 | 2.7 | 2.7 | 2.2 |
| 2 Responsibility and coordination | 3.0 | 3.0 | 2.9 | 3.0 | 2.5 |
| 3 Design and methods | 2.3 | 2.3 | 2.3 | 2.4 | 1.8 |
| 4 Data availability and data systems | 2.3 | 2.3 | 2.3 | 2.4 | 1.8 |
| 5 Skills and expertise | 2.5 | 2.6 | 2.4 | 2.6 | 2.0 |
| 6 Use and communication | 2.8 | 2.8 | 2.9 | 2.8 | 2.3 |
| Overall average | 2.6 | 2.6 | 2.6 | 2.7 | 2.1 |

Reporting data issues from AIR 2017

- Considerably low level of physical achievements (outputs) reported.
- Number of inconsistencies noticed, typical errors:
 - No outputs reported while expenditure/selection considerably high
 - Outputs selected = outputs implemented
 - 0 expenditure but outputs implemented
 - Targets overachieved, or very much overachieved
 - No data reported/Non plausible values reported
 - Implications is that wrong data will be reported on the ODP

CBC data quality issues

| Criterion | Source | Quality Check | Number of Warnings |
|----------------------------|----------------------------------|---|--------------------|
| Completeness & Consistency | by Priority Axis - by Categories | TEST F0 - Difference Total Eligible Cost of selected proj. (f) | 0 |
| Completeness & Consistency | by Priority Axis - by Categories | TEST F0 - Difference Total Expenditure Declared (g) | 0 |
| Plausibility | by Priority Axis | TEST F1 - Total Expenditure Declared (g) > Total Eligible Cost of selected projects (f) | 0 |
| Plausibility | by Priority Axis | TEST F2 - Total Expenditure Declared (g) > Total Funding (e) | 0 |
| Plausibility | by Priority Axis | TEST F5 - Total Eligible Cost of selected projects (f) > Total Funding (e) | 13 |
| Plausibility | by Priority Axis | TEST F6 - Total Expenditure Declared (g) > 0 AND Number of operations = 0 | 1 |
| Plausibility | by Priority Axis | TEST F8 - Expenditure declared (g) < Certified expenditure | 10 |
| Plausibility | by Categories | TEST F1 - Total Expenditure Declared (g) > Total Eligible Cost of selected projects (f) | 0 |
| Plausibility | by Categories | TEST F2 - Total Expenditure Declared (g) > Total Funding (e) | 0 |
| Plausibility | by Categories | TEST F5 - Total Eligible Cost of selected projects (f) > Total Funding (e) | 3 |
| Plausibility | by Categories | TEST F6 - Total Expenditure Declared (g) > 0 AND Number of operations = 0 | 5 |
| Time series | by Priority Axis | TEST F9 - Total Eligible Cost of selected proj. (f) $t+1 < t$ | 10 |
| Time series | by Priority Axis | TEST F9 - Total Expenditure Declared (g) $t+1 < t$ | 1 |
| Time series | by Priority Axis | TEST F9 - Nbr of operations $t+1 < t$ | 2 |
| Time series | by Categories | TEST F9 - Total Eligible Cost of selected proj. (f) $t+1 < t$ | 10 |
| Time series | by Categories | TEST F9 - Total Expenditure Declared (g) $t+1 < t$ | 1 |
| Time series | by Categories | TEST F9 - Nbr of operations $t+1 < t$ | 3 |

CBC data quality issues



| Criterion | Source | Quality Check | Number of Warnings |
|------------|---------|----------------------------------|--------------------|
| Indicators | Details | TEST I1 - Selected > Target | 43 |
| Indicators | Details | TEST I2 - Selected $t+1 < t$ | 12 |
| Indicators | Details | TEST I3 - Implemented $t+1 < t$ | 0 |
| Indicators | Details | TEST I4 - Implemented > Selected | 10 |
| Indicators | Details | TEST I5 - Implemented > Target | 15 |
| Indicators | Details | TEST I6 - Negative values | 0 |

TN data quality issues

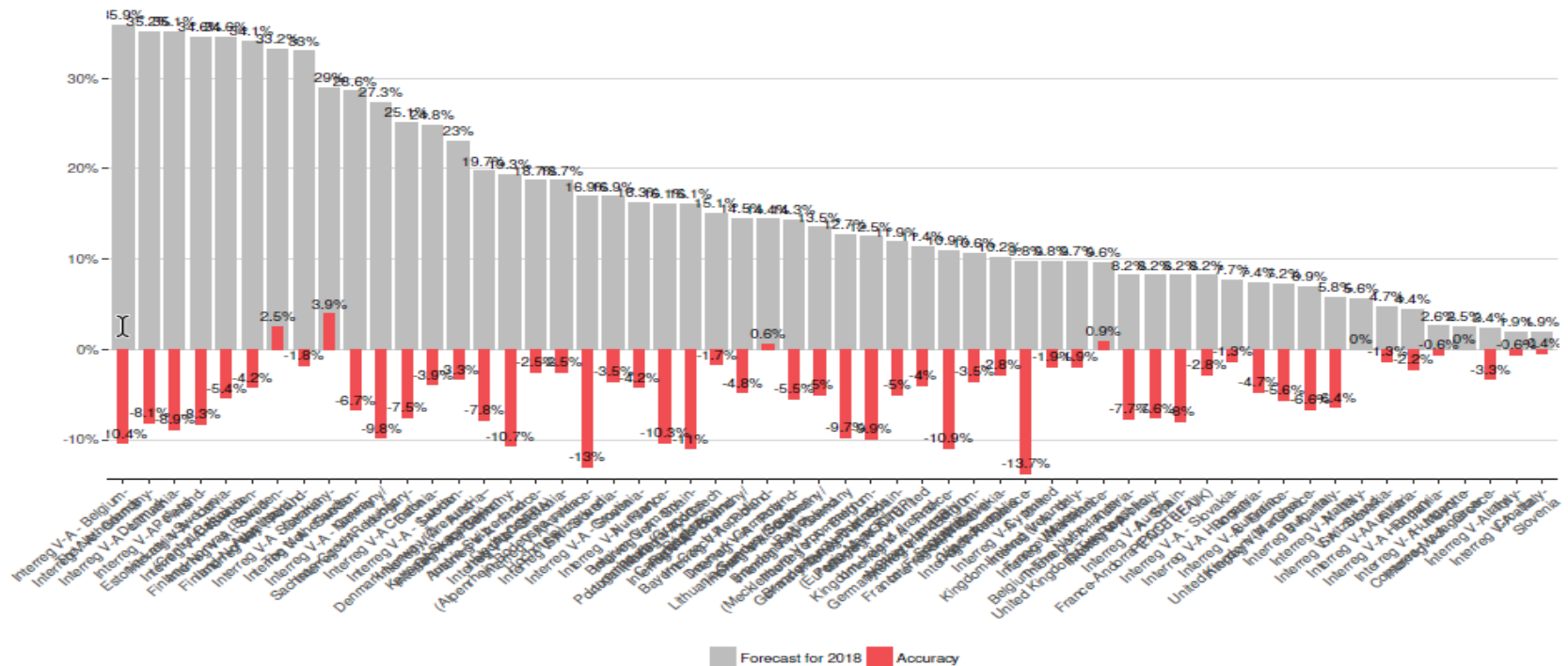
| Criterion | Source | Quality Check | Number of Warnings |
|----------------------------|----------------------------------|---|--------------------|
| Completeness & Consistency | by Priority Axis - by Categories | TEST F0 - Difference Total Eligible Cost of selected proj. (f) | 0 |
| Completeness & Consistency | by Priority Axis - by Categories | TEST F0 - Difference Total Expenditure Declared (g) | 0 |
| Plausibility | by Priority Axis | TEST F1 - Total Expenditure Declared (g) > Total Eligible Cost of selected projects (f) | 0 |
| Plausibility | by Priority Axis | TEST F2 - Total Expenditure Declared (g) > Total Funding (e) | 0 |
| Plausibility | by Priority Axis | TEST F5 - Total Eligible Cost of selected projects (f) > Total Funding (e) | 1 |
| Plausibility | by Priority Axis | TEST F6 - Total Expenditure Declared (g) > 0 AND Number of operations = 0 | 0 |
| Plausibility | by Priority Axis | TEST F8 - Expenditure declared (g) < Certified expenditure | 4 |
| Plausibility | by Categories | TEST F1 - Total Expenditure Declared (g) > Total Eligible Cost of selected projects (f) | 0 |
| Plausibility | by Categories | TEST F2 - Total Expenditure Declared (g) > Total Funding (e) | 0 |
| Plausibility | by Categories | TEST F5 - Total Eligible Cost of selected projects (f) > Total Funding (e) | 0 |
| Plausibility | by Categories | TEST F6 - Total Expenditure Declared (g) > 0 AND Number of operations = 0 | 1 |
| Time series | by Priority Axis | TEST F9 - Total Eligible Cost of selected proj. (f) t+1 < t | 4 |
| Time series | by Priority Axis | TEST F9 - Total Expenditure Declared (g) t+1 < t | 0 |
| Time series | by Priority Axis | TEST F9 - Nbr of operations t+1 < t | 1 |
| Time series | by Categories | TEST F9 - Total Eligible Cost of selected proj. (f) t+1 < t | 4 |
| Time series | by Categories | TEST F9 - Total Expenditure Declared (g) t+1 < t | 0 |
| Time series | by Categories | TEST F9 - Nbr of operations t+1 < t | 0 |

TN data quality issues



| Criterion | Source | Quality Check | Number of Warnings |
|------------|---------|----------------------------------|--------------------|
| Indicators | Details | TEST I1 - Selected > Target | 11 |
| Indicators | Details | TEST I2 - Selected $t+1 < t$ | 4 |
| Indicators | Details | TEST I3 - Implemented $t+1 < t$ | 1 |
| Indicators | Details | TEST I4 - Implemented > Selected | 3 |
| Indicators | Details | TEST I5 - Implemented > Target | 5 |
| Indicators | Details | TEST I6 - Negative values | 0 |

Forecasting accuracy CBC



Forecasting accuracy TN

